

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER KONDO 7

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/830652

INTERNATIONAL APPLICATION NO. PCT/JP99/05964

INTERNATIONAL FILING DATE 28 October 1999

PRIORITY CLAIMED 30 October 1998

TITLE OF INVENTION

14

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METHOD FOR DETECTING GENE AFFECTED BY ENDOCRINE DISRUPTOR

APPLICANT(S) FOR DO/EO/US

A. KONDO et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information. [xx] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.

- 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C 371.
- 3. [xx] This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 1. The US has been elected in a Demand by the expiration of 19 months from the priority date (PCT Article 31).
- 5. [xx] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not transmitted by the International Bureau).
 - b. [xx] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- [6. [xx] An English language translation of the International Application as filed (35 U S.C 371(c)(2)).
- 7. [xx] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [xx] have not been made and will not be made.
 - 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [xx] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
- 13. [xx] A FIRST preliminary amendment.
 - [XX] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] A substitute specification
- 15. [] A change of power of attorney and/or address letter.
- 16. [xx] Other items or information:
 - [xx] Courtesy copy of the first page of the International Publication (WO 00/26404).
 - [xx] Courtesy Copy of the International Search Report.
 - [xx] Application Data Sheet.

U.S. APPLICATION NO. (If known, see 37 CFR 1				Attorney's Docket No			
09/830652	PCT/J	PCT/JP99/05964			KONDO 7		
17. [xx] The following fees are submitted:				CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) -(5):							
Neither international preliminary examination fee (37 CFR 1.482)							
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO							
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International preliminary examination fee (37 CFR 1.482) not paid to							
USPTO but International Search Report prepared by the EPO or JPO\$860.00							
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International preliminary examination fee (37 CFR 1 482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
international search fee (37 CFR 1.445(a)(2)) paid to USFTO							
International preliminary examination fee paid to USPTO (37 CFR 1.482)							
but all claims did not satisfy provisions of PCT Article 33(1)-(4)							
,,							
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)							
ENTER ADDRODUATE BACK FEE AMOUNT -				\$	860.00		
ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [20] 30				\$	000.00		
menths from the earliest claimed priority date (37 CFR 1.492(e)).							
Claims as Originally Presented		Number Extra	Rate				
Tatal Claims	9 - 20	0	X \$18.00	\$			
Independent Claims	3 - 3	0	X \$80.00	\$			
Multiple Dependent Claims (if applicable) +\$270.00				\$			
TOTAL OF ABOVE CALCULATIONS =				\$	860,00		
Claims After Post Filing Prel. Amend	Number Filed		Rate	1			
Total Claims	12 - 20	0	X \$18.00	\$			
Independent Claims	3 - 3	0	X \$78.00	\$			
TOTAL OF ABOVE CALCULATIONS =				\$	860.00		
Reduction of ½ for filing by small entity, if applicable. Applicant claims small entity				\$	000101		
status. See 37 CFR 1.27.				"			
SUBTOTAL =				\$	860.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30				\$			
months from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE =				\$	860.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be				\$			
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					000.00		
TOTAL FEES ENCLOSED =					860.00	-	
				1	Amount to be: refunded	\$	
				H	charged	S	
a. [] A check in the amount of \$ to cover the above fees is enclosed							
a. [] A check in the amount of s to cover the above tees is enclosed. b. [XX] Credit Card Payment Form (PTO-2038), authorizing payment in the amount of \$860.00, is attached.							
c. Please charge my Deposit Account No. 02-4035 in the amount of \$ to cover the above fees.							
A duplicate copy of this sheet is enclosed.							
d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment							
to Deposit Account No. 02-4035. A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive 137 CFR 1.13 (a) or							
(b)) must be filed and granted to restore the application to pending status.							
SENIO ALL CORRESPONDENCE TO:							
SEND ALL CORRESPONDENCE TO:				Τ	SIGNATURE	unar_	
BROWDY AND NEIMARK, P.L.L.C.					neridan Neimark		
624 NINTH STREET, N.W., SUITE 300					AME		
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FAX: (202) 737-3528							
PAA. (202) 737-5320 Date of this submission: April 30, 2001							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Akihiro KONDO et al.

IA No.: PCT/JP99/05964

IA Filed: October 28, 1999

U.S. App. No.:
(Not Yet Assigned)

National Filing Date:
(Not Yet Received)

For: METHOD FOR DETECTING..

Art Unit:
Washington, D.C.

Washington, D.C.

April 30, 2001

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Contemporaneous with the filing of this case and prior to calculation of the filing fee, kindly amend as follows:

IN THE SPECIFICATION

After the title please insert the following paragraph:

-- REFERENCE TO RELATED APPLICATIONS

The present application is the national stage under 35 U.S.C. §371 of international application PCT/JP99/05964, filed October 28, 1999, which designated the United States, and which application was not published in the English language. --